



Receipt

PATENT  
1508/3170 (A0834)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Baldonado et al.  
Serial No : 09/717,278  
Cnfrm No : To Be Assigned  
Filed : November 22, 2000  
For : SYSTEMS AND METHODS FOR  
PERFORMING SENDER-INDEPENDENT  
MANAGING OF ELECTRONIC  
MESSAGES

Examiner:  
Unknown

Art Unit:  
2152

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JUN 19 2001

Technology Center 2100

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

Dear Sir:

Applicants hereby request a corrected filing receipt for the above-identified application. On the enclosed copy of the official filing receipt, the applicant data for the third inventor reads "William C. Janssen JR., Mountain View, CA" but should read "William C. Janssen Jr., Mountain View, CA". A copy of the signed combined declaration and power of attorney as filed is enclosed herewith.

Since Applicants are not responsible for this error, no fee is enclosed.

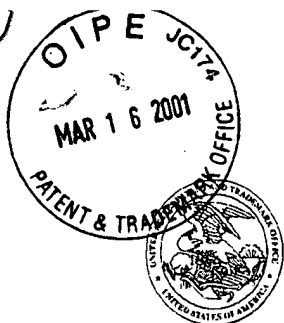
Respectfully submitted,

Dated: March 14, 2001

Gunnar G. Leinberg  
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Registration No. 35,584

Nixon Peabody LLP  
Clinton Square, P.O. Box 31051  
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Certificate of Mailing - 37 CFR 1.8(a)	
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date below.	
3/14/01 Date	Sherri A. Mascala Sherri A. Mascala



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/717,278	11/22/2000	2152	790	1508-3170	8	20	4

## FILING RECEIPT



\*OC000000005827179\*

Gunnar G Leinberg Esq  
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Clinton Square  
P O Box 31051  
Rochester, NY 14603

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Date Mailed: 03/05/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Michelle Q. Wang Baldonado, Palo Alto, CA ;  
Paula S. Newman, Los Altos, CA ;  
William C. Janssen Jr., Mountain View, CA ;

## Continuing Data as Claimed by Applicant

## Foreign Applications

If Required, Foreign Filing License Granted 03/05/2001

## Title

Systems and methods for performing sender-independent managing of electronic messages

## Preliminary Class

709

Data entry by : DILLON, LAWANDA

Team : OIPE

Date: 03/05/2001



**LICENSE FOR FOREIGN FILING UNDER**  
**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

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**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:



**PATENT APPLICATION**

Attorney Docket No. 1508.3170  
D/A0834

**DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **SYSTEMS AND METHODS FOR PERFORMING SENDER-INDEPENDENT MANAGING OF ELECTRONIC MESSAGES.**

the specification and claims of which  
☒ are attached hereto OR ☐ was filed on \_\_\_\_\_ as U.S. Application No. \_\_\_\_\_

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims.

I acknowledge the duty to disclose information which is material to the patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I hereby claim priority benefits under Title 35, United States Code, §119 of any foreign or U.S. Provisional application(s) for patent listed below, and have also identified below any foreign application(s) or Provisional application(s) for patent having a filing date before that of the application on which priority is claimed:

Prior Foreign or U.S. Provisional Application(s)

\_\_\_\_\_  
(Number)

\_\_\_\_\_  
(Country)

\_\_\_\_\_  
(Day/Month/Year Filed)

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following registered practitioners to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

John E. Beck	Reg. No. 22,833
Ronald F. Chapuran	Reg. No. 26,402
Jonathan A. Small	Reg. No. 32,631
Richard B. Domingo	Reg. No. 36,784
Henry Fleischer	Reg. No. 25,582
Mark Costello	Reg. No. 31,342
Eugene O. Palazzo	Reg. No. 20,881

Gunner G. Leinberg	Reg. No. 35,584
Michael L. Goldman	Reg. No. 30,727
Edwin V. Merkel	Reg. No. 40,087
Jason H. Vick	Reg. No. 45,285
James E. Howard	Reg. No. 39,715
Daniel S. Song	Reg. No. 43,143

**ADDRESS ALL CORRESPONDENCE TO:**  
NIXON PEABODY LLP  
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P.O. Box 31051  
Rochester, NY 14603  
USA

**DIRECT TELEPHONE CALLS TO:**  
(name and telephone number)  
Gunner G. Leinberg  
(716) 263-1000

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

**DECLARATION AND POWER OF ATTORNEY, continued**

Name of sole or first inventor: Michelle Q. Wang Baldonado

Inventor's Signature: Michelle Q. Wang Baldonado Date: 11/22/2000

Residence: 700 Alester Avenue  
Palo Alto, California 94303, US

Citizenship: United States of America

Post Office Address:  
(Same as above)

Name of second inventor: Paula S. Newman

Inventor's Signature: Paula S. Newman Date: 11/22/2000

Residence: 455 Traverso Avenue  
Los Altos, California 94022, US

Citizenship: United States of America

Post Office Address:  
(Same as above)

Name of third inventor: William C. Janssen, Jr.

Inventor's Signature: William C. Janssen, Jr. Date: 11/22/2000

Residence: 230 Jason Way  
Mountain View, California 94043, US

Citizenship: United States of America

Post Office Address:  
(Same as above)

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Bib Data Sheet

CONFIRMATION NO. 2069

<b>SERIAL NUMBER</b> 09/717,278	<b>FILING DATE</b> 11/22/2000 <b>RULE</b>	<b>CLASS</b>	<b>GROUP ART UNIT</b> 2155	<b>ATTORNEY DOCKET NO.</b> 1508-3170
<b>APPLICANTS</b> Michelle Q. Wang Baldonado, Palo Alto, CA; Paula S. Newman, Los Altos, CA; William C. Janssen Jr., Mountain View, CA;				
<b>** CONTINUING DATA *****</b>				
<b>** FOREIGN APPLICATIONS *****</b>				
<b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 03/05/2001</b>				
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance		<b>STATE OR COUNTRY</b> CA	<b>SHEETS DRAWING</b> 8	<b>TOTAL CLAIMS</b> 20
Verified and Acknowledged _____ Examiner's Signature _____ Initials _____		<b>INDEPENDENT CLAIMS</b> 4		
<b>ADDRESS</b> Gunnar G Leinberg Esq Nixon Peabody LLP Clinton Square P O Box 31051 Rochester, NY 14603				
<b>TITLE</b> Systems and methods for performing sender-independent managing of electronic messages				
<b>FILING FEE RECEIVED</b> 790	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	